Lambda Calculus - Recursions (9A)

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```
a fixed-point combinator

(or fixpoint combinator),

denoted fix, is a higher-order function

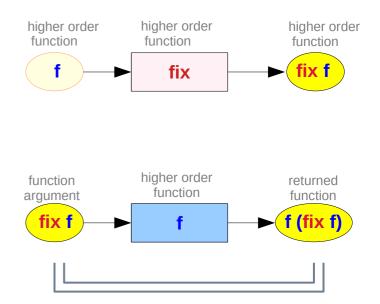
which takes a function f as argument

that returns some fixed point (fix f)

(a value that is mapped to itself)

of its argument function f, if one exists.

fix f = f (fix f),
```



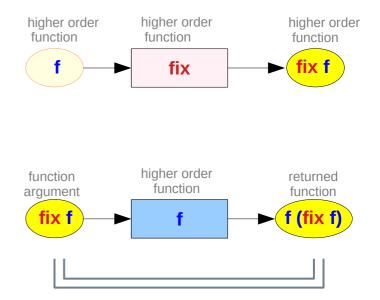
```
fix f = f (fix f),

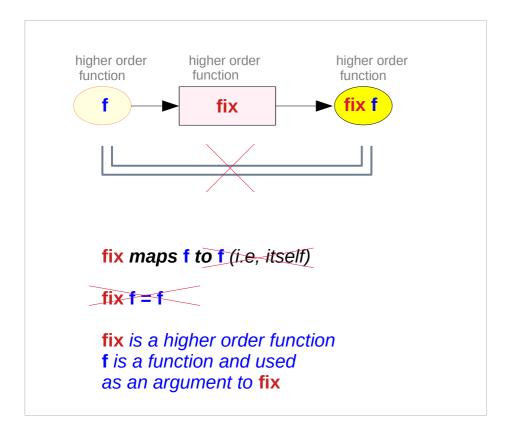
fix f is a fixed point

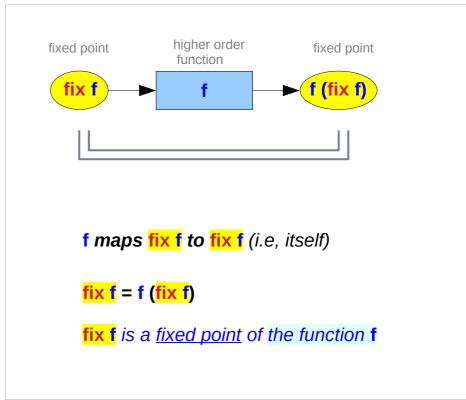
fixed point : a value that is mapped to itself

fix, fix f, higher order functions
fixed point : a function that is mapped to itself

an argument function fix f is mapped
to the same function f (fix f) = fix f
```







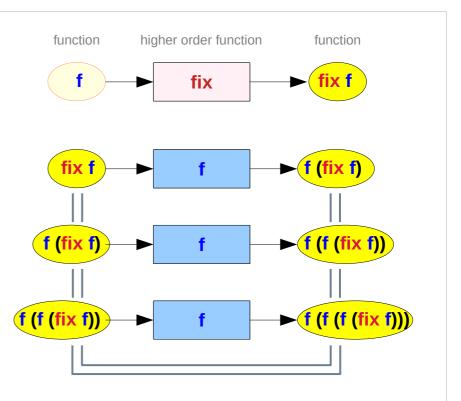
some fixed point (fix f) of its argument function f, if one exists.

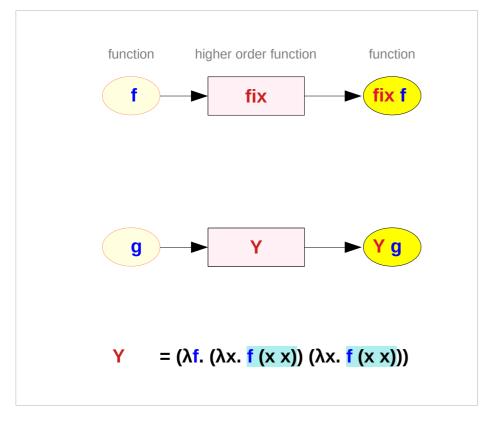
Formally, if the function f has one or more fixed points, then fix f = f (fix f),

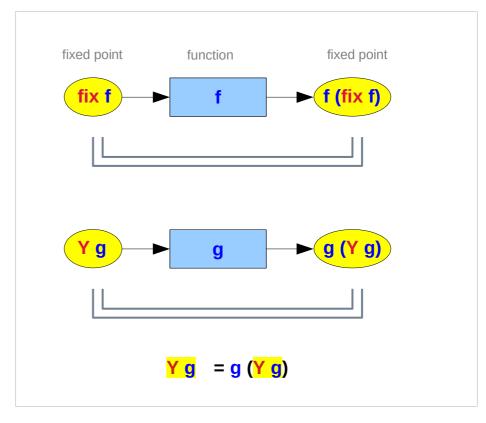
and hence, by repeated application,

fix f fixed point

fix fixed point combinator





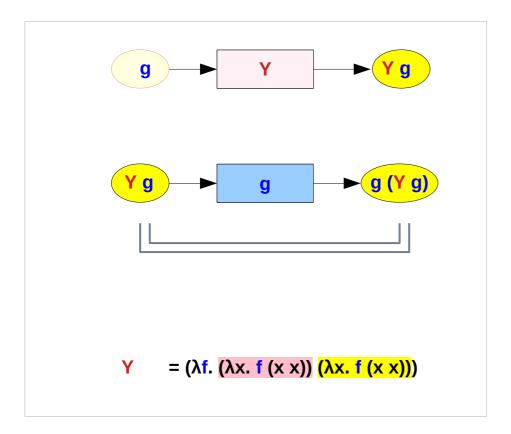


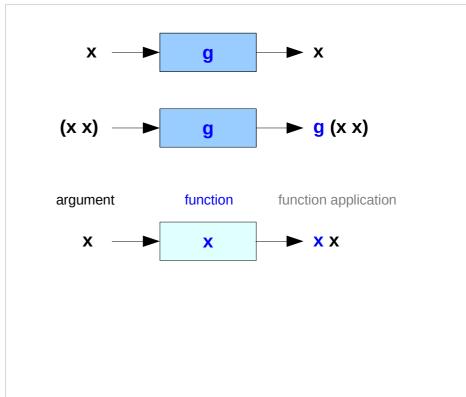
Y: a fixed point combinator

Y g: a fixed point of g

$$Y g = (\lambda x. g (x x)) (\lambda x. g (x x))$$

$$g (Y g) = g ((\lambda x. g (x x)) (\lambda x. g (x x)))$$





Y: a fixed point combinator

Juxtaposition x x (1)

Juxtaposition of expressions

denotes function application,

is left-associative,

and has higher precedence than the period.



= λx . ((x) x) (ok) left-associative

 \neq ($\lambda x \cdot x$) x (X) higher precedence over .

Juxtaposition x x (2)

Is x x valid?

only valid if x is a function that can be applied to itself that is, x must be of a type that accepts itself as input

if $x = (\lambda z. z)$, then $x x \rightarrow (\lambda z. z) (\lambda z. z) \rightarrow valid$ apply the <u>identity</u> function to the <u>identity</u> function

if $x \equiv 3$ (a number), then $x \times A \rightarrow 3 \times A \rightarrow A$ invalid 3 is not a function

So f(x x) is valid only if x x is valid.

argument function function application

x

x

x

MS Copilot : is x x valid?

Juxtaposition x x (3)

x x has a risk of non-termination

can lead to infinite recursion – a non-normalizing term while it is legal, it may not terminate

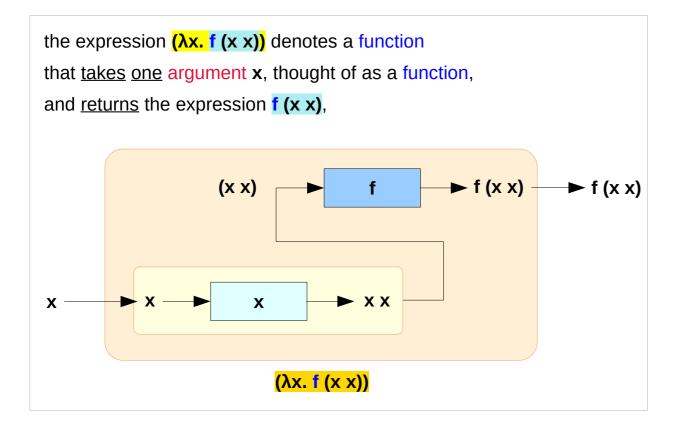
if
$$x = (\lambda z. z)$$
, then $x x \rightarrow (\lambda z. z) (\lambda z. z) \rightarrow (\lambda z. z) \rightarrow termination$

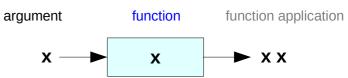
argument function function application

x → x x

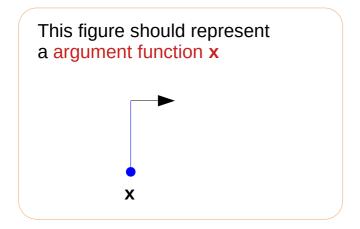
MS Copilot : is x x valid?

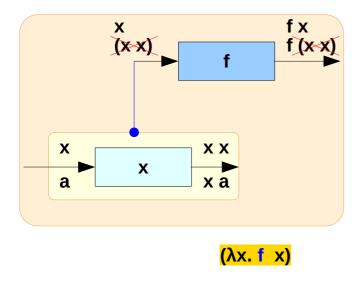
The anonymous function (λx . f (x x))

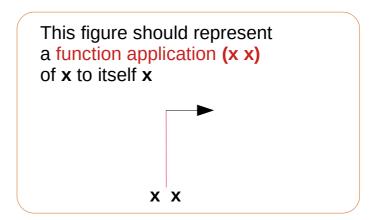


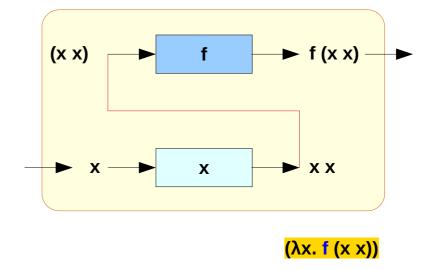


An argument function and a function application









Juxtaposition $(\lambda x. f(x x)) (\lambda x. f(x x)) (1)$

$$Y = \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$$

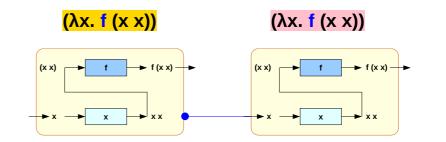
Y is a function that <u>takes</u> one <u>argument</u> **f** and <u>returns</u> the entire expression following the first period;

$$(\lambda x. f(x x)) (\lambda x. f(x x))$$

This is also a function application

the 1^{st} (λx . f (x x)): a high order function

the 2^{nd} (λx . f (x x)): an argument function



$$f((\lambda x. f(x x))(\lambda x. f(x x)))$$

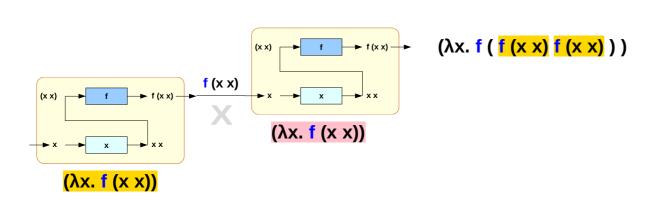


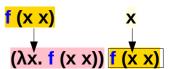
$$f((\lambda x. f(x x))(\lambda x. f(x x)))$$

$$(\lambda x. f(f(x x) f(x x)))$$

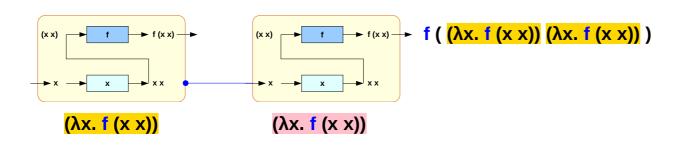
Juxtaposition $(\lambda x. f(x x)) (\lambda x. f(x x)) (2)$

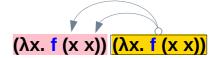
cascaded functions





a high order function with an argument function





$$(\lambda y. f(y y)) \frac{(\lambda x. f(x x))}{(\lambda x. f(x x))}$$

Every recursively defined function can be seen as a fixed point of some suitably defined function closing over the recursive call with an extra argument,

and therefore, using \mathbf{Y} , every recursively defined function can be expressed as a lambda expression.

In particular, we can now cleanly define the subtraction, multiplication and comparison predicate of natural numbers <u>recursively</u>.

$$Y = (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x)))$$

$$Y g = g (Y g)$$

https://en.wikipedia.org/wiki/Lambda_calculus#Formal_definition

In the classical <u>untyped</u> <u>lambda</u> <u>calculus</u>, <u>every</u> <u>function</u> has a <u>fixed</u> <u>point</u>.

A particular <u>implementation</u> of **fix** is Curry's paradoxical **combinator Y**, represented by

$$Y = \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$$

In functional programming, the **Y combinator** can be used to formally define recursive functions in a programming language that does <u>not</u> support recursion.

```
Y = λf. (λx. f (x x)) (λx. f (x x))

the expression (λx. f (x x)) denotes a function

that takes one argument x,

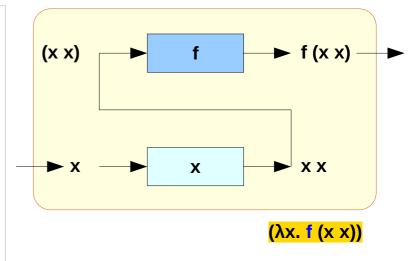
which is thought of as a function,

and returns the expression f (x x),

where (x x) denotes

a function x applied to itself (x) as an argument.
```

Juxtaposition of expressions denotes function application, is left-associative, and has higher precedence than the period.)



The following calculation verifies that **Y g** is indeed a fixed point of the function **g**:

```
Y g = (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))) g

= (\lambda x. g (x x)) (\lambda x. g (x x))

= (\lambda x. g (x x)) (\lambda x. g (x x)))

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```

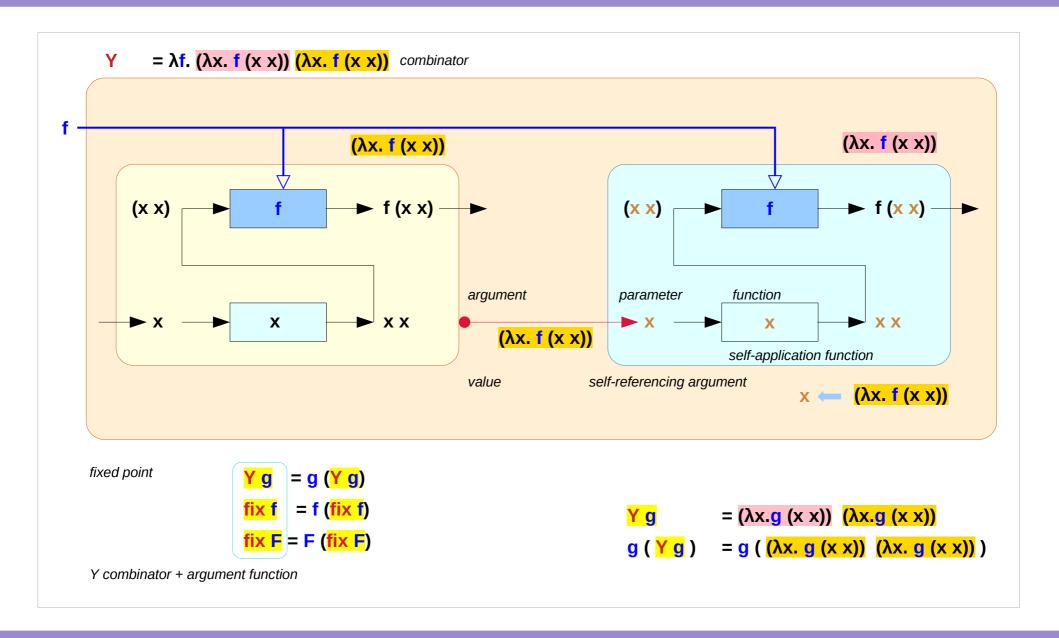
by the definition of Y

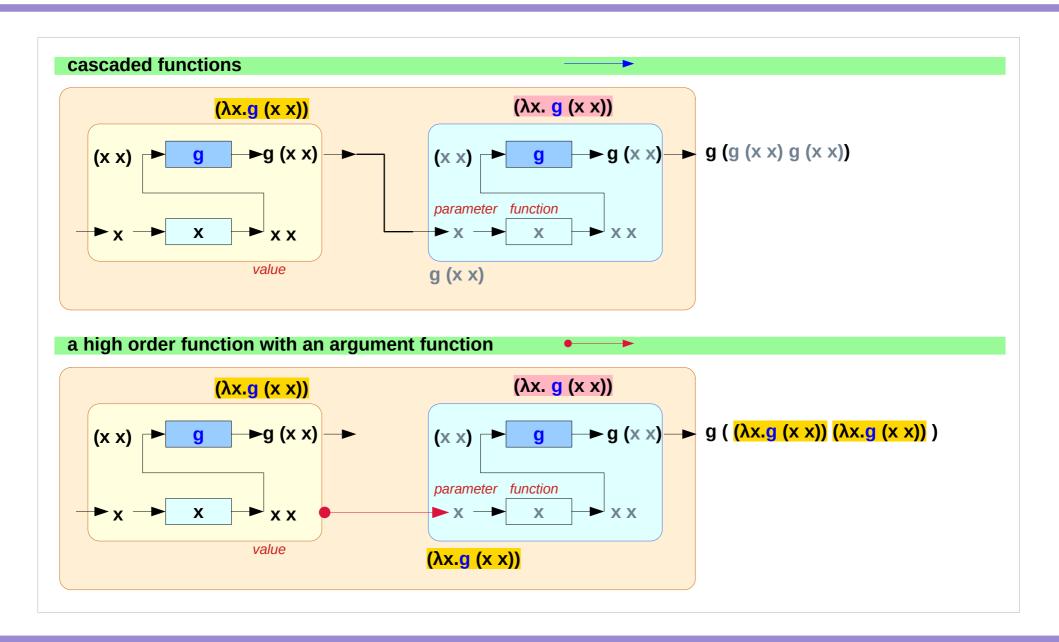
by β -reduction: replacing the formal argument ${f f}$ of ${f Y}$ with the actual argument ${f g}$

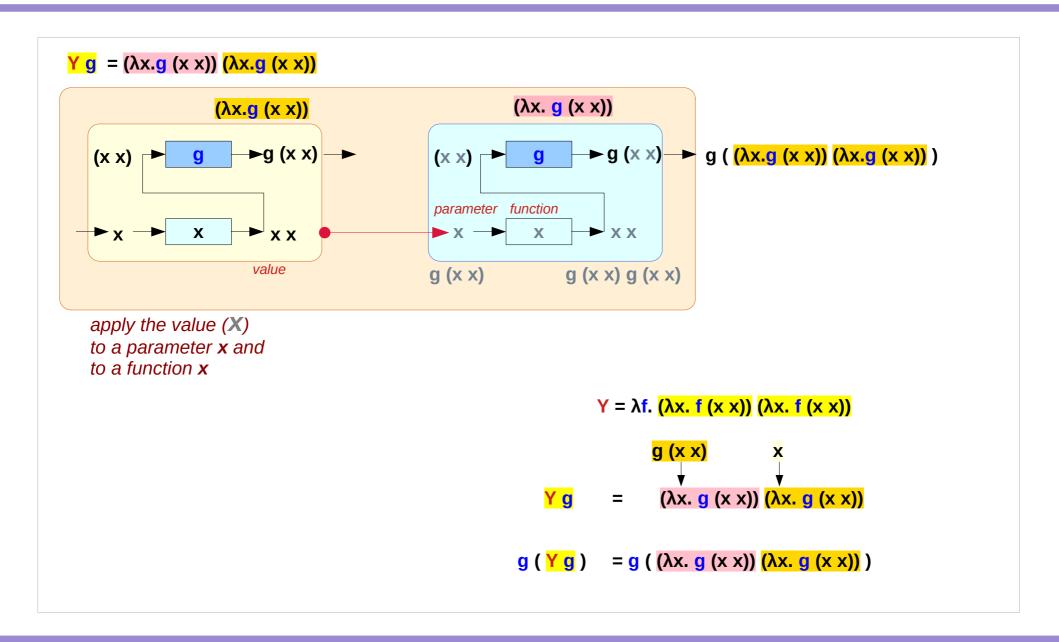
by β -reduction: replacing the formal argument x of the first function with the actual argument (λx . g(x x))

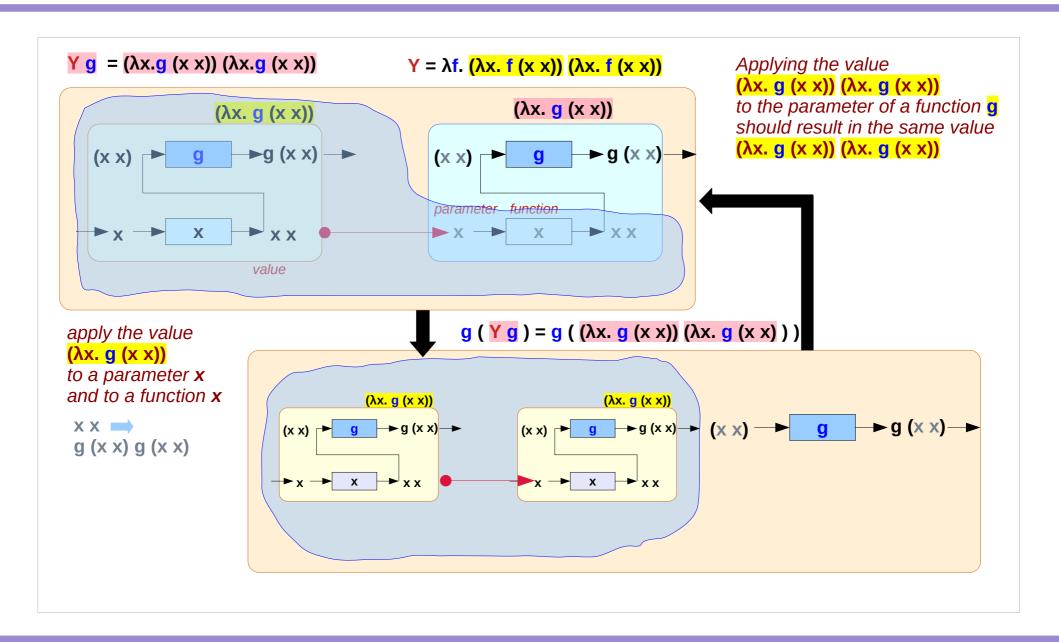
by second equality, above

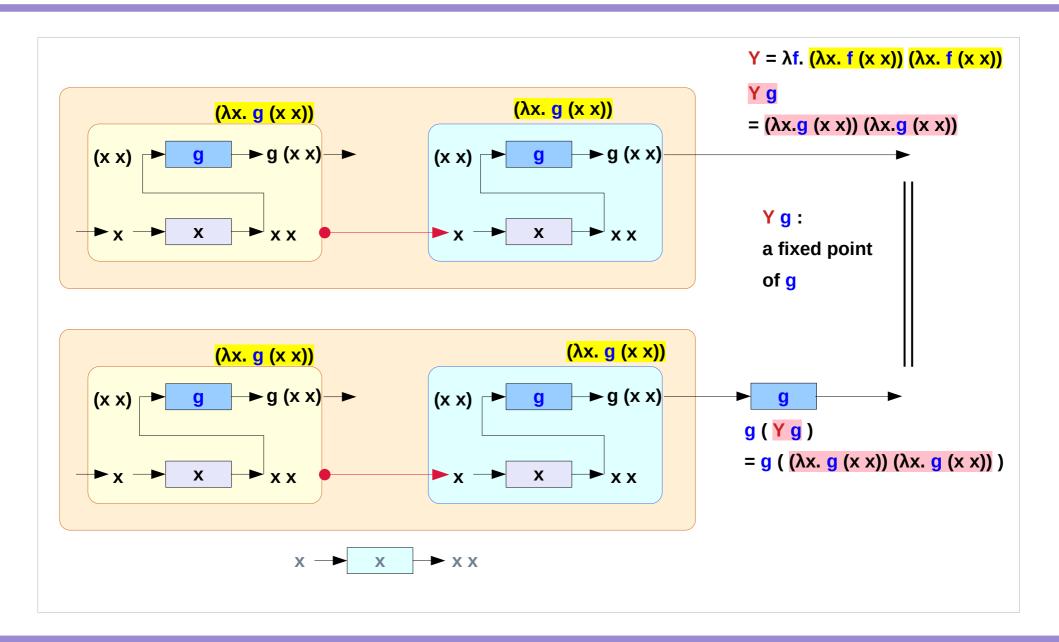
```
Y = \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))
Y g = (\lambda x. g(x x)) (\lambda x. g(x x))
g(Y g) = g((\lambda x. g(x x)) (\lambda x. g(x x)))
```











The following calculation verifies that **Y g** is indeed a fixed point of the function **g**:

Y g =
$$(\lambda f. (\lambda x.f (x x)) (\lambda x. f (x x))) g$$

= g (Y g)

by the definition of **Y**by second equality, above

The lambda term \mathbf{g} (Y \mathbf{g}) may \underline{not} , in general, β -reduce to the term (Y \mathbf{g}).

However, $Y = \lambda f$. (λx . f(x x)) (λx . f(x x)) makes both terms β -reduce to the same term, as shown.

$$Y g = (\lambda x. g (x x)) (\lambda x. g (x x))$$

$$g (Y g) = g ((\lambda x. g (x x)) (\lambda x. g (x x)))$$

This combinator may be used in implementing **Curry's paradox**.

The heart of Curry's paradox is that <u>untyped lambda calculus</u> is <u>unsound</u> as a <u>deductive system</u>,

and the **Y combinator** demonstrates this by <u>allowing</u> an <u>anonymous expression</u> to represent <u>zero</u>, or even <u>many</u> **values**.

This is <u>inconsistent</u> in <u>mathematical logic</u>.

The Y combinator is a higher-order function

that finds the fixed point of another function.

it allows a function to call itself recursively.

Its classic form in lambda calculus is:

$$Y = \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$$

this construction uses self-application to simulate recursion.

Lambda calculus doesn't allow functions to refer to themselves by name.

So to define a recursive function like factorial, we need a workaround.

Define a function generator:

Instead of defining the factorial function directly, you define a function **F** that takes another function **f** as input and returns the factorial logic using **f** for <u>recursion</u>.

 $F = \lambda f. \lambda n.$ if (n == 0) then 1 else n * f(n - 1)

Apply the **Y combinator**:

You then apply **Y** to **F** to get the actual recursive factorial function:

factorial = Y F

This works because **Y F** expands to **F** (**Y F**), which means **F** receives itself as the recursive call.

The magic lies in fixed-point theory.

The **Y** combinator finds a value **x** such that f(x) = x. In recursion, this means finding a function that, when passed to **F**, returns itself—thus enabling self-reference.

```
Y = (λf. (λx. f (x x)) (λx. f (x x)))

Y F = F (Y F)

= F (F (Y F))

= F (F (Y F)))

= F (F (F (Y F))))
```

```
Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))

F = \lambda f. \lambda n. if (n == 0) then 1 else n * f(n - 1)

factorial = Y F
```

```
Y = (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x)))

Y F = F (Y F)

= F (F (Y F))

= F (F (Y F)))

= F (F (F (Y F))))

Y F = (\lambda x. F (x x)) (\lambda x. F (x x))

F (Y F) = \lambda n. if (n == 0)

then 1

else n * F(n - 1)
```

```
Y = (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x)))

Y F = F (Y F)

= F (F (Y F))

= F (F (Y F)))

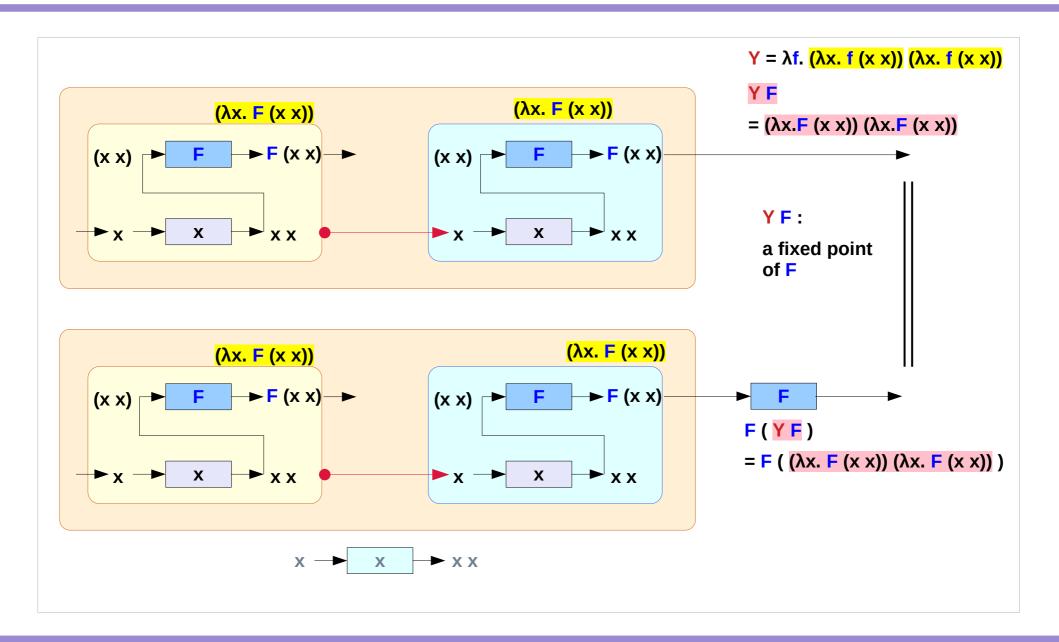
= F (F (F (Y F))))

Y F = (\lambda x. F (x x)) (\lambda x. F (x x))

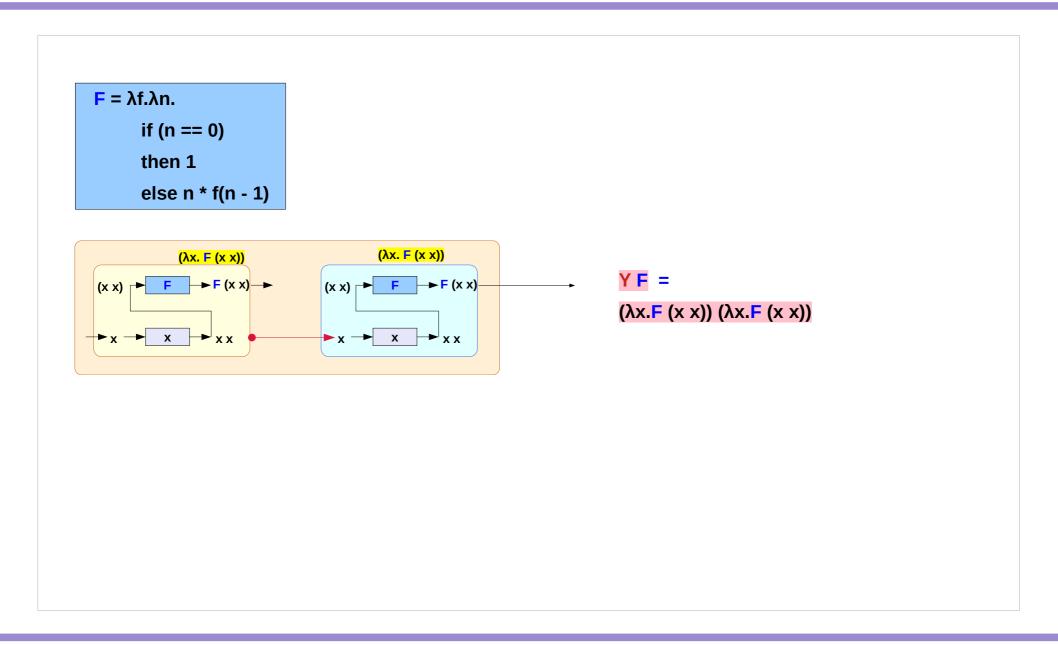
F (Y F) = \lambda n. \text{ if } (n == 0)

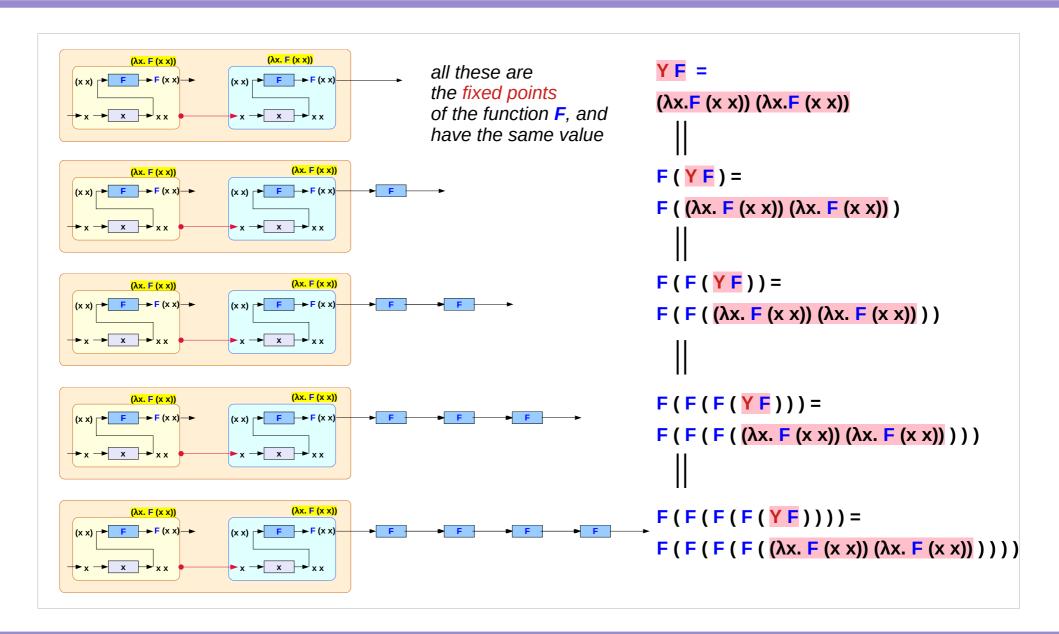
then 1

else n * F(n - 1)
```



```
F(YF)=
               (\lambda x.F(x x))(\lambda x.F(x x))
                                                                          F((\lambda x. F(x x)) (\lambda x. F(x x)))
                             F(YF) =
                                                                          F(F(YF))=
        F((\lambda x. F(x x)) (\lambda x. F(x x)))
                                                                          F(F(\lambda x. F(x x))(\lambda x. F(x x)))
                        F(F(YF))=
                                                                          F(F(F(YF))) =
   F(F((\lambda x. F(x x))(\lambda x. F(x x))))
                                                                          F(F(F(\lambda x. F(x x))(\lambda x. F(x x))))
      = (\lambda f. (\lambda x. f(x x)) (\lambda x. f(x x)))
                                                                                    factorial = Y F
YF = F(YF)
      = F (F (Y F))
      = F (F (Y F))
      = F (F (F (Y F)))
```





Applied to a function with one variable,

the **Y combinator** usually does <u>not terminate</u>.

More interesting results are obtained

by <u>applying</u> the **Y combinator** to <u>functions</u> of <u>two or more variables</u>.

the <u>additional variables</u> may be used as a <u>counter</u>, or <u>index</u>.

the resulting function behaves like a **while** or a **for** loop in an imperative language.

 $Y = (\lambda f. (\lambda x.f (x x)) (\lambda x. f (x x)))$ Y g = g (Y g)

Fixed-point combinator (12)

Used in this way, the **Y combinator** implements <u>simple</u> <u>recursion</u>.

The lambda calculus does <u>not</u> allow a function to appear as a <u>term</u> in its own <u>definition</u> as is possible in many programming languages,

but a function can be passed as an argument to a higher-order function that applies it in a <u>recursive</u> manner.

 $Y = (\lambda f. (\lambda x.f (x x)) (\lambda x. f (x x)))$ Y g = g (Y g)

Fixed-point combinator (13)

Every recursively defined function can be seen as a fixed point of some suitably defined function closing over the recursive call with an <u>extra</u> argument,

and therefore, using **Y**, every recursively defined function can be expressed as a lambda expression.

In particular, we can now cleanly define the subtraction, multiplication and comparison predicate of natural numbers <u>recursively</u>.

Fixed-point combinator (14)

Applied to a function with one variable,

the **Y combinator** usually does <u>not terminate</u>.

More interesting results are obtained by applying the **Y combinator** to functions of two or more variables.

The <u>additional</u> variables may be used as a <u>counter</u>, or <u>index</u>.

The resulting function behaves like a **while** or a **for** loop in an imperative language.

Used in this way, the **Y combinator** implements simple recursion.

Fixed-point combinator (15)

In the lambda calculus, it is <u>not possible</u> to <u>refer</u> to the <u>definition</u> of a function inside its own <u>body by name</u>.

Recursion though may be achieved by obtaining the same function passed in as an argument, and then using that argument to make the recursive call, instead of using the function's own name, as is done in languages which do support recursion natively.

The **Y combinator** demonstrates this style of programming.

Fixed-point combinator (16)

An example implementation of **Y combinator** in two languages is presented below.

Y Combinator in Python

Y=lambda f: (lambda x: f(x(x)))(lambda x: f(x(x)))

Y(Y)

The factorial function (1)

The **factorial function** provides a good example of how a **fixed-point combinator** may be used to define recursive functions.

The standard recursive definition of the factorial function in mathematics can be written as

fact n =
$$\begin{cases} 1 & \text{if } n = 0 \\ n \cdot \text{fact (n-1)} & \text{otherwise.} \end{cases}$$

where \mathbf{n} is a non-negative integer.

The factorial function (2)

If we want to implement this in lambda calculus,

- integers are represented using Church encoding,

the problem is that the lambda calculus
does <u>not</u> allow the <u>name</u> of a function ('fact')
to be used in the <u>function's</u> <u>definition</u>.

this problem can be <u>circumvented</u>
using a <u>fixed-point combinator fix</u> as follows.

```
fix f = f (fix f),
```

fix f fixed point

fix fixed point combinator

```
Y g = g (Y g)
```

Y =
$$(\lambda f. (\lambda x.f (x x)) (\lambda x. f (x x)))$$

Y g = $(\lambda x.g (x x)) (\lambda x.g (x x))$
= g ($(\lambda x.g (x x)) (\lambda x.g (x x))$)
= g ($(Y g)$)

fixed point

Y combinator + argument function

The factorial function (3)

```
using a fixed-point combinator fix

fix f = f (fix f)

fix F = F (fix F),

Let the fixed point of F, (fix F) as fact

fact = fix F

(fix F) = F (fix F)

(fact) = F (fact) fixed-point fact
```

The factorial function (4)

```
a fixed-point combinator fix
  fix F = F (fix F),
the fixed point of F, (fix F) as fact
  (fact n) = F (fact n)
<u>define</u> a function F of <u>two</u> arguments f and n:
  Ffn = (IsZero n) 1 (multiply n (f (pred n)))
  F fact n = (IsZero n) 1 (multiply n (fact (pred n)))
```

```
fix F = F (fix F),
fix F fixed point
fix
      fixed point combinator
fact n = F fact n
       = (IsZero n)
                       1
            (multiply n (fact (pred n)))
```

https://en.wikipedia.org/wiki/Fixed-point combinator

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The factorial function (5)

```
(fact n) = F (fact n)

F f n ≡ (IsZero n) 1 (multiply n (f (pred n)))

the definition of the function F

F fact n ≡ (IsZero n) 1 (multiply n (fact (pred n)))

the recursive relation of fact

fact n = (IsZero n) 1 (multiply n (fact (pred n)))

n * fact (n-1)
```

The factorial function (6)

```
fact n = F fact n
= (IsZero n) 1 (multiply n (fact (pred n)))

here (IsZero n) is a function
that takes two arguments 1 (multiply n (fact (pred n)))
and returns
its first argument 1 if n=0,
otherwise its second argument (multiply n (f (pred n)))

pred n evaluates to n-1
```

```
fact n = \begin{cases} 1 & \text{if } n = 0 \\ n \text{ fact (n-1)} & \text{otherwise.} \end{cases}
```

Recursion (1)

recursion.

the <u>definition</u> of a <u>function</u> using the <u>function</u> itself.

A function <u>definition</u> containing itself <u>inside itself</u>, <u>by value</u>, leads to the whole value being of <u>infinite size</u>.

Other notations which support recursion natively overcome this by referring to the function definition by name.

Recursion (2)

Lambda calculus cannot express this

(referring to the function definition by name for recursion)

all functions are anonymous in lambda calculus,

so we can't refer by name to a value

which is yet to be defined,

<u>inside</u> the <u>lambda</u> term <u>defining</u> that same <u>value</u>.

in lambda calculus

all functions are anonymous

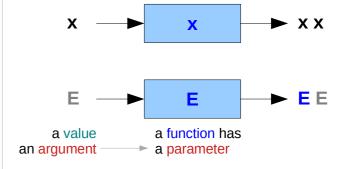
Recursion (3)

however, a lambda expression can <u>receive</u> itself as its own argument, for example in $(\lambda x.x x) E$.

Here **E** should be an abstraction, applying its parameter to a value to express recursion.

in lambda calculus

- all functions are anonymous
- but a function can receive itself as an argument



Recursion (4)

Consider the factorial function fact(n) recursively defined by

```
fact(n) = 1, if n = 0; else n * fact(n-1).
```

in the lambda expression

which is to represent the function fact(n),

typically, the <u>first parameter</u> will be assumed to <u>receive</u> the <u>lambda expression</u> itself <u>as its value</u>,

so that <u>calling</u> it (applying it to an argument) will amount to <u>recursion</u>.

Recursion (5)

```
Thus to achieve recursion, the intended-as-self-referencing argument (called r here) must always be passed to itself rr within the function body, at a call point: rr (n-1)

G := \lambda r. \ \lambda n. \ (1, \ if \ n = 0; \quad else \ n \times (rr \ (n-1)))
with rrn = F \ n = G \ rn to hold,
so \ r = G \ and
F := G \ G = (\lambda x. x \ x) \ G
```

```
fix G = G (fix G)

fix G fixed point fact

fix fixed point combinator

r r n =
F n =
F n =
G r n

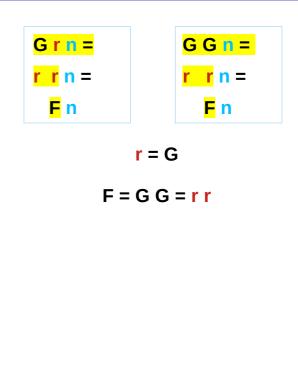
G G n

r = G
```

F = GG = rr

Recursion (6) – with a self-referencing argument

G is a <u>recursive factorial function</u> G := λr . λn . (1, if n = 0; else n × (r r (n-1)))	
G must have two arguments r n	Grn
in the body of G , self-referencing argument r must always be passed to r , for recursion	rrn
F is the top level fact function with one argument n	Fn
with $Grn = rrn = Fn$ to hold	r = G
$F := G G = (\lambda x. x x) G$	



Recursion (7)

```
G := \lambda r. \lambda n. (1, if n = 0; else n \times (r r (n-1)))
with rrn = Fn = Grn to hold, so r = G and F := GG = (\lambda x. x x) G
```

```
fact n = F fact n
= (IsZero n) 1 (multiply n (fact (pred n)))
```



```
rrn = Grn
= (1, if n=0; else n × (rr (n-1)))
```

fact fact
$$n = G$$
 fact $n = (1, if n=0; else $n \times (fact fact (n-1)))$$

$$fix G = G (fix G)$$

fix G fixed point fact

fix fixed point combinator

F = G G = r r

r = G

r = G = fact

Recursion (8)

```
G := \lambda r. \lambda n. (1, if n = 0; else n \times (rr (n-1)))
with rrn = Fn = Grn to hold, so r = G and
F := GG = (\lambda x. x x) G

rrn = Grn
r = G = fact
= (1, if n=0; else n \times (rr (n-1)))

fact fact n = G fact n = G G n
= (1, if n=0; else n \times (fact fact (n-1)))

Fn = GG n
= (1, if n=0; else n \times (F (n-1)))
```

```
fix G = G (fix G)

fix G fixed point fact

fix fixed point combinator

rrn=
Fn=
Fn=
Grn

GGn

r=G=fact
```

F = G G = r r = fact fact

```
fact n = F fact n
= (IsZero n) 1 (multiply n (fact (pred n)))
```

Recursion (9)

```
The self-application achieves replication here,

passing the function's lambda expression

on to the next invocation as an argument value,

making it available to be referenced and called there.
```

```
with rrn = Fn = Grn to hold, so r = G

fact fact n = G fact n = G Gn
= (1, if n=0; else n \times (fact fact (n-1)))
```

 $G := \lambda r. \lambda n. (1, if n = 0; else n \times (\underline{rr} (n-1)))$

```
This solves it but <u>requires re-writing</u>

each recursive call as self-application. rr (n-1)
```

Recursion (10)

```
G := \lambda r. \lambda n. (1, if n = 0; else n \times (rr(n-1)))
with rrn = Fn = Grn to hold, so r = G
```

We would like to have a generic solution, without a need for any re-writes:



$$G := \lambda r. \lambda n. (1, if n = 0; else n \times (r(n-1)))$$
 with $rn = Fn = Grn$ to hold, so $r = Gr =: FIX G$ and



r = G

Recursion (11) without a self-referencing argument

```
G := \lambda r. \lambda n. (1, if n = 0; else n × (r(n-1)))

with r n = F n = G r n to hold, so r = G r =: FIX G and

FIX G = G (FIX G)

FIX fixed point combinator

Y = (\lambda f. (\lambda x. f(x x)) (\lambda x. f(x x)))

FIX G fixed point

fact = ( (\lambda x. G(x x)) (\lambda x. G(x x)))
```

```
r n =
F n =
G r n

r = G r

F = G r = r

FIX G = G (FIX G)

r = FIX G
fixed point
```

Recursion (12) without a self-referencing argument

G is a <u>recursive factorial function</u> G := λr . λn . (1, if n = 0; else n × (r (n-1)))		
G must have two arguments	r n	G r n
in the body of G , <u>no</u> self-referencing argument	r	r n
F is the top level function with a single argument	n	Fn
with $\mathbf{r} \mathbf{n} = \mathbf{F} \mathbf{n} = \mathbf{G} \mathbf{r} \mathbf{n}$ to hold		r=G r

Recursion (13)

```
G:= \lambda r. \lambda n. (1, if n = 0; else n × (r (n-1)))

with rn = Fn = Grn to hold, so r = Gr =: FIX G

Let r = FIX G,

r = G r r = g r

(FIX G) = G (FIX G) (FIX g) = g (FIX g)

F:= FIX G where FIX g:= (r where r = g r) = g (FIX g)

F = G F (FIX G) (FIX G)
```

```
Grn =
    rn =
    rn =
    Fn

    r = Gr
    F = Gr
```

```
FIX G = G (FIX G)

FIX fixed point combinator

Y = (\lambda f. (\lambda x.f (x x)) (\lambda x. f (x x)))

FIX G fixed point

fact = ((\lambda x.G (x x)) (\lambda x. G (x x)))
```

Recursion (14)

```
G := \lambda r. \ \lambda n. \ (1, \ if \ n = 0; \quad else \ n \times (r \ (n-1)))
with \ r \times = F \times = G \ r \times \text{ to hold, so } r = G \ r =: FIX \ G \text{ and}
Let \ r = FIX \ G, \ (thus \ F = FIX \ G)
(FIX \ G) = G \ (FIX \ G) \qquad (FIX \ g) = G \ (FIX \ g)
r = G \quad r \qquad r = g \quad r
F = G \quad F
F := FIX \ G \quad where \quad FIX \ g := (r \ where \ r = g \ r) = g \ (FIX \ g)
FIX \ G = G \ (FIX \ G) = (\lambda n. \ (1, \ if \ n = 0; \ else \ n \times (r \ (FIX \ G) \ (n-1))))
```

fixed point combinator

fix

Recursion (15)

```
FIX G = G (FIX G) = (\lambda n. (1, if n = 0; else n \times ((FIX G) (n-1))))
```

Given a lambda term with <u>first</u> argument representing recursive call (e.g. **G** here), the <u>fixed-point</u> combinator **FIX** will <u>return</u> a <u>self-replicating</u> lambda expression representing the recursive function (here, **F**).

The function does <u>not need</u> to be <u>explicitly passed</u> to itself at any point, for the <u>self-replication</u> is arranged <u>in advance</u>, when it is <u>created</u>, to be done each time it is <u>called</u>.

```
FIX F = F (FIX F),

FIX F fixed point

FIX fixed point combinator

FIX F = F (FIX F) = fact

(fact) = F (fact)

(fact n) = F (fact n)

F f n = (IsZero n) 1

(multiply n (f (pred n)))
```

Recursion (16)

Thus the original lambda expression (**FIX G**) is re-created inside itself, at call-point, achieving self-reference.

In fact, there are many possible <u>definitions</u> for this **FIX** operator, the simplest of them being:

$$Y := \lambda g.(\lambda x.g(x x))(\lambda x.g(x x))$$

$$Y g = (\lambda x.g (x x)) (\lambda x.g (x x))$$
$$= g (\lambda x. (x x)) (\lambda x.g (x x))$$

Recursion (17)

In the lambda calculus, $\mathbf{Y} \mathbf{g}$ is a fixed-point of \mathbf{g} , as it expands to:

```
Y g
(λh.(λx.h (x x)) (λx.h (x x))) g
(λx.g (x x)) (λx.g (x x))
g ((λx.g (x x)) (λx.g (x x)))
g (Y g)
```

Recursion (18)

Now, to perform our recursive call to the factorial function, we would simply call (Y G) n, where n is the number we are calculating the factorial of.

Given n = 4, for example, this gives:

```
(Y G) 4

G (Y G) 4

(\lambda r.\lambda n.(1, \text{ if } n = 0; \text{ else } n \times (r (n-1)))) \text{ (Y G) } 4

(\lambda n.(1, \text{ if } n = 0; \text{ else } n \times ((Y G) (n-1)))) \text{ 4}

1, if 4 = 0; else 4 × ((Y G) (4-1))

4 × (G (Y G) (4-1))
```

Recursion (19)

```
4 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1)))) (4-1))
4 \times (1, \text{ if } 3 = 0; \text{ else } 3 \times ((Y G) (3-1)))
4 \times (3 \times (G (Y G) (3-1)))
4 \times (3 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1)))) (3-1)))
4 \times (3 \times (1, \text{ if } 2 = 0; \text{ else } 2 \times ((Y G) (2-1))))
4 \times (3 \times (2 \times (G (Y G) (2-1))))
4 \times (3 \times (2 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1)))) (2-1))))
4 \times (3 \times (2 \times (1, \text{ if } 1 = 0; \text{ else } 1 \times ((Y G) (1-1)))))
4 \times (3 \times (2 \times (1 \times (G (Y G) (1-1)))))
4 \times (3 \times (2 \times (1 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1))))))))
4 \times (3 \times (2 \times (1 \times (1, if 0 = 0; else 0 \times ((Y G) (0-1))))))
4\times(3\times(2\times(1\times(1))))
24
```

Recursion (20)

Every recursively defined function can be seen as a fixed point of some suitably defined function closing over the recursive call with an extra argument, and therefore, using **Y**, every recursively defined function can be expressed as a lambda expression.

In particular, we can now cleanly define the subtraction, multiplication and comparison predicate of natural numbers recursively.

Encoding Conditionals (1)

consider how to <u>encode</u> a <u>conditional expression</u> of the form:

if P then A else B

i.e., the <u>value</u> of the whole expression is either **A** or **B**, depending on the value of **P**

this conditional expression can be represented by using a lambda expression as follows

COND P A B

where COND, P, A and B are all lambda expressions.

Encoding Conditionals (2)

(where == means "is defined to be").

COND P A B COND is a function of 3 arguments that works by applying P to (A and B) (i.e., P itself chooses A or B): COND == λp.λa.λb.p a b

Encoding Conditionals (3)

To make this definition work correctly, we must define the representations of **true** and **false** carefully

since the lambda expression P
that COND applies to its arguments A and B
will reduce to either TRUE or FALSE

when **TRUE** is applied to **a** and **b** we want it to <u>return</u> **a** (first) when **FALSE** is applied to **a** and **b** we want it to <u>return</u> **b**. (second)

Encoding Conditionals (4)

let **TRUE** be a function of two arguments that ignores the second argument and returns the first argument,

let **FALSE** be a function of two arguments that ignores the first argument and returns the second argument:

TRUE ==
$$\lambda x.\lambda y.x$$

FALSE == $\lambda x.\lambda y.y$

Encoding Conditionals (5)

COND TRUE M N

Note that this expression should evaluate to M.

substituting our definitions for **COND** and **TRUE**, and evaluating the resulting expression

the sequence of beta-reductions is shown below

in each case, the <u>redex</u> about <u>to be reduced</u> is indicated by <u>underlining</u> the <u>formal parameter</u> and the <u>argument</u> that will be substituted in for that parameter. NO

Encoding Conditionals (6)

 $(\underline{\lambda p}.\lambda a.\lambda b. \ p \ a \ b) \ (\underline{\lambda x.\lambda y. \ x}) \ M \ N \ \rightarrow \beta$

($\underline{\lambda a}$. λb . (λx . λy .x) a b) \underline{M} N $\rightarrow \beta$

($\underline{\lambda}\underline{b}$. ($\lambda x.\lambda y.x$) M b) $\underline{N} \rightarrow \beta$

($\underline{\lambda x}$. λy . x) \underline{M} $N \rightarrow \beta$

 $(\underline{\lambda y}. M) \underline{N} \rightarrow \beta$

M

https://pages.cs.wisc.edu/~horwitz/CS704-NOTES/2.LAMBDA-CALCULUS-PART2.html#cond

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Division (1-1)

Division of natural numbers may be implemented by,

$$n/m = if n \ge m then 1 + (n-m)/m$$

else 0

Calculating **n** – **m** takes many beta reductions.

Unless doing the reduction by hand, this doesn't matter that much, but it is preferable to not have to do this calculation (n - m) twice.

$$9/3 = 1 + (9 - 3)/3$$

= 1 + (1 + (6 - 3)/3)
= 1 + (1 + (1 + 0/3))
= 1 + (1 + (1 + 0))

$$n / m = if n \ge m then 1 + (n - m) / m$$
else 0

computing the condition $(n \ge m)$ involves (n - m) calculation

Division (1-2)

The simplest predicate for <u>testing numbers</u> is **IsZero** so consider the condition.

```
IsZero (minus n m)
```

But this condition is equivalent to $n \le m$, not n < m.

```
minus n = m \text{ pred } n = 0 if n \leq m
```

If this expression is used

then the mathematical <u>definition</u> of <u>division</u> given above

is translated into function on Church numerals as,

```
minus m n = n \text{ pred } m
```

```
minus 4 3 = 3 pred 4
= (pred (pred (pred 4)))
= (pred (pred 3))
= (pred 2)
= 1
```

```
IsZero (minus 3 1) = 0  3 > 1  2
IsZero (minus 3 2) = 0  3 > 2  1
IsZero (minus 3 3) = 1  3 = 3  0
IsZero (minus 3 4) = 1  3 < 4  0
IsZero (minus 3 5) = 1  3 < 5  0
```

Division (2-1)

```
n/m = if n \ge m then 1 + (n-m)/m
                  else 0
n/m = if n < m then 0
                  else 1 + (n - m) / m
(n-1)/m = if n \le m then 0
                  else 1 + (n - m) / m
```

If IsZero (minus n m) is used a single call to (minus n m) is possible

but the result gives the value of (n-1) / m.

(minus n m) can be utilized in computing 1 + (n - m)/m

correct condition: n < m

modified condition: $n \le m$

https://en.wikipedia.org/wiki/Church encoding

10/29/25

Division (2-2)

d ← **n** − **m**

https://en.wikipedia.org/wiki/Church_encoding

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Division (2-3)

```
divide1 n m f x =

(λd. IsZero d (0 f x) (f (divide1 d m f x))) (minus n m)

divide1 9 3 f x

= IsZero 6 (0 f x) (f (divide1 6 3 f x)) = (f (divide1 6 3 f x))

divide1 6 3 f x

= IsZero 3 (0 f x) (f (divide1 3 3 f x)) = (f (divide1 3 3 f x))

divide1 3 3 f x

= IsZero 0 (0 f x) (f (divide1 0 3 f x)) = (0 f x) = x
```

```
9/3 = 1 + (9 - 3)/3
      = 1 + (1 + (6 - 3) / 3)
      = 1 + (1 + (1 + 0 / 3))
      = 1 + (1 + (1 + 0))
divide1 9 3 f x
      = (f (divide1 6 3 f x))
      = (f (f (divide1 3 3 f x)))
      = (f (f (0 f x)))
      = (f (f x))
```

Division (3-1)

```
add 1 to n before calling divide.

divide n = divide1 (succ n)

divide1 10 3 f x

= IsZero 7 (0 f x) (f (divide1 7 3 f x)) = (f (divide1 7 3 f x))

divide1 7 3 f x

= IsZero 4 (0 f x) (f (divide1 4 3 f x)) = (f (divide1 4 3 f x))

divide1 4 3 f x

= IsZero 1 (0 f x) (f (divide1 1 3 f x)) = (f (divide1 1 3 f x))

divide1 1 3 f x

= IsZero 0 (0 f x) (f (divide1 1 3 f x)) = (0 f x) = x
```

```
divide1 9 3 f x
= (f (divide1 7 3 f x))
= (f (f (divide1 4 3 f x)))
= (f (f (f (divide1 1 3 f x))))
= (f (f (f (x)))
```

Division (3-2)

```
add 1 to n before calling divide.

divide n = divide1 (succ n)

divide1 is a recursive definition.

divide1 n m f x =

(\lambda d. IsZero d (0 f x) (f (divide1 d m f x))) (minus n m)
```

Division (4)

```
The Y combinator may be used to implement the recursion.

Create a new function called div by;

In the left hand side divide1 \rightarrow div c

In the right hand side divide1 \rightarrow c

divide1 n m f x =

(\lambdad. IsZero d (0 f x) (f (divide1 d m f x))) (minus n m)

div = \lambda c. \ \lambda n. \ \lambda m. \ \lambda f. \ \lambda x.
(\lambdad. IsZero d (0 f x) (f (c d m f x))) (minus n m)
```

```
div c = \lambdan. \lambdam. \lambdaf. \lambdax. (\lambdad. IsZero d (0 f x) (f (c d m f x))) (minus n m)
```

Division (5)

```
Then,
    divide = \lambda n. divide1 (succ n)
where,
    divide1 = Y div succ = \lambda n. \lambda f. \lambda x. f(n f x) Y
                  = \lambda f. (\lambda x. F(x x)) (\lambda x. f(x x)) 0
                  = \lambda f. \lambda x. x IsZero
                  = \lambda n. N (\lambda x. False) true
    true \equiv \lambda a. \lambda b. a false \equiv \lambda a. \lambda b. b
    minus = \lambda m. \lambda n. n pred m pred
                  = \lambda n. \lambda f. \lambda x. n (\lambda g. \lambda h. h (g f)) (\lambda u. x) (\lambda u. u)
```

Division (6)

```
Gives,

divide =

λn. ((λf. (λx. x x) (λx. f (x x)))

(λc. λn. λm. λf. λx.

(λd. (λn. n (λx. (λa. λb. b)) (λa. λb . a))

d ((λf. λx. x) f x) (f (c d m f x)))

((λm. λn. n (λn. λf. λx . n (λg. λh. h (g f))

(λu. x) (λu. u)) m) n m)

))

((λn. λf. λx. f (n f x)) n)
```

Division (6)

Gives,

divide = λn . ((λf . (λx . x.) (λx . f (x.x))) (λc . λn . λm . λf . λx . (λd . (λn . n (λx . (λa . λb . b)) (λa . λb . a)) d ((λf . λx . x) f x) (f (c d m f x))) ((λm . λn . n (λn . λf . λx . n (λg . λh . h (g f)) (λu . x) (λu . u)) m) n m))) ((λn . λf . λx . f (n f x)) n)

Or as text, using \ for λ ,

divide = $(\ln.((\f.(x.x x) (\x.f (x x))) (\c.\n.\m.\f.\x.(\d.(\n.n (\x.(\a.\b.b)) (\a.\b.a)) d ((\f.\x.x) f x) (f (c d m f x))) ((\m.\n.n (\n.\f.\x.n (\g.\h.h (g f)) (\u.x) (\u.u)) m) n m))) ((\n.\f.\x. f (n f x)) n))$

Division (7)

For example, 9/3 is represented by

divide (\f.\x.f (f (f (f (f (f (f (f x)))))))) (\f.\x.f (f (f x)))

Using a lambda calculus calculator, the above expression reduces to 3, using normal order.

(\f.\x.f (f (f (x))))

Recursion (1-1)

recursion.

the <u>definition</u> of a <u>function</u> using the <u>function</u> itself.

A function <u>definition</u> containing itself <u>inside itself</u>, <u>by value</u>, leads to the whole value being of <u>infinite size</u>.

Other notations which support recursion natively overcome this by referring to the function definition by name.

Recursion (1-2)

Lambda calculus cannot express this:

all functions are anonymous in lambda calculus, so we <u>can't</u> refer by name to a <u>value</u> which is yet <u>to be defined</u>, <u>inside</u> the <u>lambda term defining</u> that same <u>value</u>.

however, a lambda expression can <u>receive</u> itself as its own <u>argument</u>, for example in $(\lambda x.x x) E$.

Here **E** should be an abstraction,
applying its parameter to a value to express recursion.

Recursion (1-3)

Consider the factorial function **F(n)** recursively defined by

$$F(n) = 1$$
, if $n = 0$; else $n * F(n-1)$.

In the lambda expression which is to represent the function **F(n)**, a parameter (typically the <u>first one</u>) will be assumed to <u>receive</u> the lambda expression itself as its value, so that calling it - applying it to an argument will amount to <u>recursion</u>.

Recursion (2-1)

Thus to achieve recursion,

the intended-as-self-referencing argument

(called **r** here) must always be <u>passed</u> to itself within the <u>function body</u>, at a call point:

$$G := \lambda r$$
. λn . (1, if $n = 0$; else $n \times (r r (n-1))$)

with $\mathbf{rrx} = \mathbf{Fx} = \mathbf{Grx}$ to hold,

so r = G and

 $F := G G = (\lambda x.x x) G$

Recursion (2-2)

$$F(n) = 1$$
, if $n = 0$; else $n \times F(n - 1)$.

G :=
$$\lambda r$$
. λn .(1, if n = 0; else n × (r r (n-1)))

with
$$rrx = Fx = Grx$$
 to hold, so $r = G$ and

$$F := G G = (\lambda x.x x) G$$

Recursion (3-1)

The self-application achieves replication here,
passing the function's lambda expression
on to the next invocation as an argument value,
making it available to be referenced and called there.

This solves it but requires <u>re-writing</u> each recursive call as self-application.

Recursion (3-2)

We would like to have a generic solution, without a need for any re-writes:

Recursion (4)

Given a lambda term with <u>first</u> argument representing recursive call (e.g. **G** here), the <u>fixed-point</u> combinator **FIX** will <u>return</u> a <u>self-replicating</u> lambda expression representing the recursive function (here, **F**).

The function does <u>not need</u> to be <u>explicitly passed</u> to itself at any point, for the <u>self-replication</u> is arranged <u>in advance</u>, when it is <u>created</u>, to be done each time it is <u>called</u>.

Recursion (5)

Thus the original lambda expression (**FIX G**) is re-created inside itself, at call-point, achieving self-reference.

In fact, there are many possible <u>definitions</u> for this **FIX** operator, the simplest of them being:

$$Y := \lambda g.(\lambda x.g(x x))(\lambda x.g(x x))$$

$$Y g = (\lambda x.g (x x)) (\lambda x.g (x x))$$
$$= g (\lambda x. (x x)) (\lambda x.g (x x))$$

Recursion (6)

In the lambda calculus, $\mathbf{Y} \mathbf{g}$ is a fixed-point of \mathbf{g} , as it expands to:

```
Y g
(λh.(λx.h (x x)) (λx.h (x x))) g
(λx.g (x x)) (λx.g (x x))
g ((λx.g (x x)) (λx.g (x x)))
g (Y g)
```

Recursion (7)

Now, to perform our recursive call to the factorial function, we would simply call (Y G) n, where n is the number we are calculating the factorial of.

Given n = 4, for example, this gives:

```
(Y G) 4

G (Y G) 4

(\lambda r.\lambda n.(1, \text{ if } n = 0; \text{ else } n \times (r (n-1)))) \text{ (Y G) } 4

(\lambda n.(1, \text{ if } n = 0; \text{ else } n \times ((Y G) (n-1)))) \text{ 4}

1, if 4 = 0; else 4 × ((Y G) (4-1))

4 × (G (Y G) (4-1))
```

Recursion (8)

```
4 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1)))) (4-1))
4 \times (1, \text{ if } 3 = 0; \text{ else } 3 \times ((Y G) (3-1)))
4 \times (3 \times (G (Y G) (3-1)))
4 \times (3 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1)))) (3-1)))
4 \times (3 \times (1, \text{ if } 2 = 0; \text{ else } 2 \times ((Y G) (2-1))))
4 \times (3 \times (2 \times (G (Y G) (2-1))))
4 \times (3 \times (2 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1)))) (2-1))))
4 \times (3 \times (2 \times (1, \text{ if } 1 = 0; \text{ else } 1 \times ((Y G) (1-1)))))
4 \times (3 \times (2 \times (1 \times (G (Y G) (1-1)))))
4 \times (3 \times (2 \times (1 \times ((\lambda n.(1, if n = 0; else n \times ((Y G) (n-1))))))))
4 \times (3 \times (2 \times (1 \times (1, if 0 = 0; else 0 \times ((Y G) (0-1))))))
4 \times (3 \times (2 \times (1 \times (1))))
24
```

Recursion (9)

Every recursively defined function can be seen as a fixed point of some suitably defined function closing over the recursive call with an extra argument, and therefore, using **Y**, every recursively defined function can be expressed as a lambda expression.

In particular, we can now cleanly define the subtraction, multiplication and comparison predicate of natural numbers recursively.

References

- [1] ftp://ftp.geoinfo.tuwien.ac.at/navratil/HaskellTutorial.pdf
- [2] https://www.umiacs.umd.edu/~hal/docs/daume02yaht.pdf